

GADGET STRUCTURES IN PROOFS OF THE KOCHEN-SPECKER THEOREM

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Prague, May 2019

R. R., M. Rosicka, K. Horodecki, S. Pironio, M. Horodecki, P. Horodecki. "Gadget structures in proofs of the Kochen-Specker theorem". arXiv: 1807.00113. Accepted in Quantum.

OUTLINE

- ► Kochen-Specker proofs. Orthogonality graphs. {0,1}-colorings.
- ► 01-Gadgets (generalised "bugs")
- Constructing Kochen-Specker proofs using 01-gadgets
- Constructing statistical KS proofs (a la Yu-Oh) using 01-gadgets
- Pitowsky's Indeterminacy Principle ("extended Kochen-Specker Theorem")
- Extended 01-gadgets and constructive proofs of extended KS theorems
- ► Applications in Randomness Generation
- Conclusions

KOCHEN-SPECKER THEOREM

Impossible to find a deterministic non-contextual assignment to measurement outcomes (for d > 2)

Outcome probs only take values 0 or 1

Assignment to projectors independent of the context

- ► Usually consider a set *S* of vectors: $S = \{|v_1\rangle, ..., |v_n\rangle\} \subset \mathbb{C}^d$.
- ► Assignment $f: S \rightarrow \{0,1\}$ also called a $\{0,1\}$ -colouring of S obeys
 - $\sum_{|v\rangle \in \mathcal{O}} f(|v\rangle) \leq 1$ for every set $\mathcal{O} \subseteq \mathcal{S}$ of mutually orthogonal vectors;
 - $\sum_{|v\rangle\in\mathcal{B}} f(|v\rangle) = 1$ for every set $\mathcal{B} \subseteq \mathcal{S}$ of d mutually orthogonal vectors.
- ► KS proofs: finite sets of vectors that do not admit any {0,1}-colouring.

S. Kochen and E. P. Specker. "The problem of hidden variables in quantum mechanics". Journal of Mathematics and Mechanics 17, 59 (1967).

ORTHOGONALITY GRAPHS

- ► Orthogonality graph: Represent each vector $|v_i\rangle$ by a vertex v_i of the graph. Connect any two vertices v_1 and v_2 by an edge if $\langle v_1 | v_2 \rangle = 0$.
- ► Graph is $\{0,1\}$ -colorable if there exists an assignment $f: V(G) \rightarrow \{0,1\}$ that obeys
 - $\sum_{v \in Q} f(v) \le 1$ for every clique $Q \subset V(G)$;
 - $\sum_{v \in Q_{\max}} f(v) = 1$ for every maximum clique $Q_{\max} \subset V(G)$.

► KS: exist finite vector sets *S* such that their ort. graphs G_S are not {0,1}-colorable.

L. Lovasz, M. Saks and A. Schrijver. Linear Algebra and its Applications. 4, 114/115, 439 (1987).

A. Cabello, S. Severini and A. Winter. arXiv: 1010.2163 (2010). Phys. Rev. Lett. 112 040401 (2014).

ORTHOGONAL REPRESENTATIONS

- ▶ Given graph G, an orthogonal representation of G in dimension d is a set of vectors
 S in C^d obeying the orthogonality conditions v₁ ~ v₂ ⇒ ⟨v₁|v₂⟩ = 0
- ► $d(G) \ge \omega(G)$ denotes the minimum dimension of an orthogonal representation of G
- Faithful Orthogonal Representation: $v_1 \sim v_2 \Leftrightarrow \langle v_1 | v_2 \rangle = 0$ and $v_1 \neq v_2 \Leftrightarrow | v_1 \rangle \neq | v_2 \rangle$
- ► $d^*(G)$ denotes the minimum dimension of a faithful ort. repn. of G.



L. Lovasz, M. Saks and A. Schrijver. Linear Algebra and its Applications. 4, 114/115, 439 (1987).

A. Cabello, S. Severini and A. Winter. arXiv: 1010.2163 (2010). Phys. Rev. Lett. 112 040401 (2014).

FINDING KS SETS IS HARD

- Given a graph, finding an ort. repn. can be expressed as a system of polynomial equations, but efficient numerical methods still lacking.
- ► Additionally, deciding if a given graph admits a {0,1}-coloring is NP-complete.

Consequentemente, finding KS sets in arbitrary dimensions is difficult.

F. Arends. Masters thesis. University of Oxford (2009).

F. Arends, J. Ouaknine, and C. W. Wampler. "On searching for small Kochen-Specker vector systems". Proc. WG vol. 6986 of Springer LNCS (2011).

O1-GADGETS (GENERAL "BUGS")

▶ Bug: Set S_C of 8 vectors such that two particular non-orthogonal vectors $|u_1\rangle$ and $|u_8\rangle$ cannot be assigned value 1 in any {0,1}-coloring



Define a 01-gadget as any set of vectors with this property, i.e.

Definition 1. A 01-gadget in dimension d is a $\{0,1\}$ colorable set $S_{gad} \subset \mathbb{C}^d$ of vectors containing two distinguished vectors $|v_1\rangle$ and $|v_2\rangle$ that are non-orthogonal, but for which $f(|v_1\rangle) + f(|v_2\rangle) \leq 1$ in every $\{0,1\}$ -coloring fof S_{gad} .

S. Kochen and E. P. Specker. "The problem of hidden variables in quantum mechanics". Journal of Mathematics and Mechanics 17, 59 (1967).

R. K. Clifton. "Getting Contextual and Nonlocal Elements-of-Reality the Easy Way". American Journal of Physics 61: 443 (1993).

Cabello, A and G. Garcia-Alcaine. "Bell-Kochen-Specker Theorem for any finite dimension n \geq 3". J. Phys. A: Math. And Gen. 29, 1025 (1996).

A. Cabello, J. R. Portillo, A. Solis, K. Svozil. "Minimal true-implies-false and true-implies-true sets of propositions in noncontextual hidden variable theories". Phys. Rev. A 98, 012106 (2018).

01-GADGETS

O1-Gadgets can also be defined in terms of graphs and act as "virtual edges" in colouring

Definition 2. A 01-gadget in dimension d is a $\{0,1\}$ colorable graph G_{gad} with faithful dimension $d^*(G_{gad}) = \omega(G_{gad}) = d$ and with two distinguished non-adjacent vertices $v_1 \not\approx v_2$ such that $f(v_1) + f(v_2) \leq 1$ in every $\{0,1\}$ -coloring f of G_{gad} .

We identify the role of 01-gadgets in KS proofs and give new constructions of KS sets based on them.

Theorem 1. For any Kochen-Specker graph G_{KS} , there exists a subgraph $G_{gad} < G_{KS}$ with $\omega(G_{gad}) = \omega(G_{KS})$ that is a 01-gadget. Moreover, given a 01-gadget G_{gad} , one can construct a KS graph G_{KS} with $\omega(G_{KS}) = \omega(G_{gad})$.

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CONSTRUCTING KS PROOFS USING 01-GADGETS

- Suppose we have constructed a 01-gadget between any two given vectors.
- We may then construct multiple new KS proofs using several graph frustrations as in the figure below



CONSTRUCTING MINIMAL 01-GADGETS

- Qn: Construct minimal 01-gadgets between any two given vectors
- Our candidate solution: uses 43 vectors.



Theorem 2. Let $|v_1\rangle$ and $|v_2\rangle$ be any two distinct nonorthogonal vectors in \mathbb{C}^d with $d \geq 3$. Then there exists a 01-gadget in dimension d with $|v_1\rangle$ and $|v_2\rangle$ being the two distinguished vertices.

$$\begin{aligned} |u_1\rangle &= (1,0,0)^T; \ |u_2\rangle = (0,1,-1)^T; \ |u_3\rangle = (0,1,0)^T; \\ |u_4\rangle &= (0,y,1)^T; \ |u_5\rangle = (2x,1,1)^T; \ |u_6\rangle = (-1,0,2x)^T; \\ |u_7\rangle &= (-2x,0,-1)^T; \ |u_8\rangle = (x,1,-2x^2)^T; \\ |u_9\rangle &= (2x^3,2x^2,1+x^2)^T; \\ |u_{10}\rangle &= (-(1+x^2),0,2x^3)^T; \\ |u_{11}\rangle &= (2x^3,0,1+x^2)^T; \\ |u_{12}\rangle &= (x(1+x^2),1+x^2,-2x^4)^T; \\ |u_{13}\rangle &= (2x^5,2x^4,(1+x^2)^2)^T; \\ |u_{13}\rangle &= (2x^5,2x^4,(1+x^2)^2)^T; \\ |u_{14}\rangle &= (-(1+x^2)^2,0,2x^5)^T; \\ |u_{15}\rangle &= (2x^5,0,(1+x^2)^2)^T; \\ |u_{16}\rangle &= (x(1+x^2)^2,(1+x^2)^2,-2x^6)^T; \\ |u_{17}\rangle &= (2x^7,2x^6,(1+x^2)^3)^T; \\ |u_{18}\rangle &= (-x(1+y^2),-1,y)^T; \\ |u_{19}\rangle &= (1,-x,-x)^T; \ |u_{20}\rangle &= (1,-x,0)^T; \\ |u_{21}\rangle &= (1,-x,xy)^T; \ |u_{22}\rangle &= (x,1,0)^T; \end{aligned}$$

with

$$y = \frac{(1+x^2)^3 + \sqrt{(1+x^2)^6 - 16x^{14}(1+x^2)}}{4x^8} \,. \tag{13}$$

ment a possible choice. However, any HV model coduces all the quantum mechanical predictions

 h_3 h_1 tem with three or more distinguishable states $\mathsf{KSTHEORF}_{2}$ arily contextual the outcome of a measurement $\mathsf{EKSTHEORF}_{2}$

on which set of compatible measurements might rmed alongside. This is exactly the content of Specker (NS) & Orlem to posted and alterdiscive proof of the KS Theorem without the use of KS Bell [2]. The quantum contextuality was initially via some logical contradictions and now it beperimentally testable via some inequalities [3-5], colorable but adaptits a state-independent on repreto as KS inequalities here, that are satisfied by ontextual ontextuality inequality.

equalities reveal the nonclassical nature of sinms dema<u>nding neither entanglement nor space-</u> ration. Since local realismz is one special form ontextuality Bell inequalities 6 can be regarded ial kind of KS inequalities. Various experiments we been done to test difectly the quantum cony on different systems. State-independent violafound for composite systems or for two or more of freedom. However for the simplest system caexhibiting contextuality, a qutrit, the quantum ality is tested only in a state dependent fashion s is because the state_jindependent+KS inequaliutrit arising from existing KS proofs involve too servables, e.g. the best KS proof known involves vables, to be tested practically.

lent KS2ppequality with only 13 dichotomic ob-, referred to as the magic-cube inequality, to test sented by 13 hollow dots and edges are represented by either straight lines or curves. The element of the adjacency matri is nonzero, i.e. $\Gamma_{uv} = 1$, if and only if two vertices u, vare connected.

Recently Klyachko, Can, Binicioglu, and Shumovskya [: propose a simple KS inequality, called pentagram in- $y_1 = (0, 1, -1)$ $h_1 = (-1, 1, 1)$ $z_1 = (1, 0, 0)$ e juality since it is based on the graph of a pentago i, to t st $\frac{y_1}{V_s}$ for qutrits with only 5 dichotomic observa ples. y_3 derived by assuming non-contextuality only the pentägräm inequality is valid for all non-contextual HV n odels and its violation has been verified in a recent exp $\operatorname{eri}^{y_3}_{13} \operatorname{ent}^{1}_{13}$, However the pentagram inequality, as well as other KS inequalities derivable from graphs [11], are state-dependent.

Our inequality is based on the graph Δ_{13} on 13 vertices as shown in Fig.1. Let , h, z with = 13, Letters. Fushad Ci. H. On gastate-independent proof of Kochen-Specker theorem-with 13 rays" Physy Rever Lett 1980 030402ts adjacency matrix, which is a 13 13 symmetric matrix with vanishing diagonal and $\Gamma_{uv} = 1$ if two vertices u, v

CONSTRUCTING STATISTICAL KS PROOFS USING 01-GADGETS

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- How to construct new (and minimal) statistical KS proofs using 01-gadgets?
- ► First, note

Lemma 3. Let $|u_i\rangle$, for i = 1, ..., d + 1 be the unit vectors denoting the vertices of a d-dimensional simplex embedded in \mathbb{R}^d . Then $\sum_{i=1}^{d+1} |u_i\rangle\langle u_i| = \frac{d+1}{d}\mathbb{I}.$ (17)

- Consider the set of d+1 vertices of the regular d-simplex in R^d with additionally 01-gadgets connecting every pair of them (this is possible from Theorem 2)
- ► Then, this set of vectors admits the following state-independent NC inequality

$$\sum_{i=1}^{d+1} f(|u_i\rangle) \le 1$$

► While the simplex is minimal, any set of vectors such that $\sum_i |\langle \psi | u_i \rangle|^2 > 1$ for all $|\psi\rangle \in \mathbb{C}^d$ can be used in the construction.

MINIMAL 01-GADGETS?

- Recently, we found a more clever 40 vector construction of a 01-gadget between arbitrary vectors.
- Question of minimality still open.

 $|u_1\rangle = (1, -1, 0)^T; |u_2\rangle = (1, 1, 1)^T;$ $|u_3\rangle = (1,1,0)^T; |u_4\rangle = (1,1,b)^T;$ $|u_5\rangle = (-2, 1, 1)^T; |u_6\rangle = (1, -1, 3)^T;$ $|u_7\rangle = (3, -3, -2)^T; |u_8\rangle = (2, 0, 3)^T;$ $|u_9\rangle = (-3, 0, 2)^T; |u_{10}\rangle = (-2, 2, -3)^T;$ $|u_{11}\rangle = (3, -3, -4)^T; |u_{12}\rangle = (4, 0, 3)^T;$ $|u_{13}\rangle = (-3, 0, 4)^T; |u_{14}\rangle = (-4, 4, -3)^T;$ $|u_{15}\rangle = (3, -3, -8)^T; |u_{16}\rangle = (8, 0, 3)^T;$ $|u_{17}\rangle = (-3, 0, 8)^T; |u_{18}\rangle = (-8, 4 + \sqrt{7}, -3)^T;$ $|u_{19}\rangle = (0, 1, -1)^T; |u_{20}\rangle = (0, 1, 0)^T;$ $|u_{21}\rangle = (0, -3 + 8b, -16 - 3b)^T; |u_{22}\rangle = (1, 0, 0)^T;$ $|u_{23}\rangle = (1, 0, -1)^T; |u_{24}\rangle = (2 - \sqrt{2}, 0, 1)^T;$ $|u_{25}\rangle = (1, -2, 1)^T; |u_{26}\rangle = (0, 1, 2)^T;$ $|u_{27}\rangle = (0, 2, -1)^T; |u_{28}\rangle = (1, -1, -2)^T;$ $|u_{29}\rangle = (1, -1, 1)^T; |u_{30}\rangle = (0, 1, 1)^T;$ $|u_{31}\rangle = (0, 1, -1)^T; |u_{32}\rangle = (-1, 1, 1)^T;$ $|u_{33}\rangle = (-1, 1, -2)^T; |u_{34}\rangle = (0, 2, 1)^T;$ $|u_{35}\rangle = (0, 1, -2)^T; |u_{36}\rangle = (2, -2, -1)^T;$ $|u_{37}\rangle = (1, -1, 4)^T; |u_{38}\rangle = (-2 - \sqrt{2}, 6 - \sqrt{2}, 2)^T;$ $|u_{39}\rangle = |u_2\rangle; \ |u_{40}\rangle = |u_3\rangle; \ |u_{41}\rangle = (1, 1, -2 + \sqrt{2})^T;$ $|u_{42}\rangle = |u_1\rangle; |u_{43}\rangle = (0, 0, 1)^T;$

LOGICAL INDETEREMINACY PRINCIPLE (EXTENDED KS THEOREM)

- Pitowsky introduced an "Extended Kochen-Specker Theorem" that he termed the "Logical Indeterminacy Principle".
- The principle concerns arbitrary [0,1]-prob. assignments rather than {0,1}colorings
- ► $f : S \to [0,1]$ is a [0,1]-assignment satisfying the KS rules. For example, the Born rule $f(|v_i\rangle) = |\langle \psi | v_i \rangle|^2$ is a valid [0,1]-assignment.

Theorem 3 ([23]). Let $|v_1\rangle$ and $|v_2\rangle$ be two nonorthogonal vectors in \mathbb{C}^d with $d \geq 3$. Then there is a finite set of vectors $S \subset \mathbb{C}^d$ with $|v_1\rangle, |v_2\rangle \in S$ such that for any [0,1]-assignment, it holds that $f(|v_1\rangle), f(|v_2\rangle) \in$ $\{0,1\}$ if and only if $f(|v_1\rangle) = f(|v_2\rangle) = 0$.

► For any two non-orthogonal vectors, in any [0,1]-assignment at least one of the probabilities must be strictly between 0 and 1, unless they are both 0.

I. Pitowsky. "Infinite and finite Gleason's theorems and the logic of indeterminacy". Journal of Mathematical Physics 39, 218 (1998).

A. Abbott, C. S. Calude and K. Svozil. "A variant of the Kochen-Specker theorem localising value-indefiniteness". J. Math. Phys. 56, 102201 (2015).

LOCALISING VALUE INDEFINITENESS

Theorem 3 ([23]). Let $|v_1\rangle$ and $|v_2\rangle$ be two nonorthogonal vectors in \mathbb{C}^d with $d \ge 3$. Then there is a finite set of vectors $S \subset \mathbb{C}^d$ with $|v_1\rangle, |v_2\rangle \in S$ such that for any [0,1]-assignment, it holds that $f(|v_1\rangle), f(|v_2\rangle) \in$ $\{0,1\}$ if and only if $f(|v_1\rangle) = f(|v_2\rangle) = 0$.

- ► Corollary: if $f(|v_1\rangle) = 1$ then $f(|v_2\rangle) \neq 0, 1$ showing that one can localise the value-indefiniteness of quantum observables.
- ► Important for an application of contextuality in randomness generation.
- ► The $f(|v_2\rangle) \neq 0,1$ is a good hash function.
- Outcome v_2 is random in any general probabilistic theory.

A. A. Abbott, C. S. Calude and K. Svozil. "A variant of the Kochen-Specker theorem localising value-indefiniteness". J. Math. Phys. 56, 102201 (2015).

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EXTENDED 01-GADGETS

- To provide constructive proofs of the extended KS theorem, we will need special kind of gadgets that we term 'extended 01-gadgets'.
- Recall the definition of 01-gadgets

Definition 1. A 01-gadget in dimension d is a $\{0,1\}$ colorable set $S_{gad} \subset \mathbb{C}^d$ of vectors containing two distinguished vectors $|v_1\rangle$ and $|v_2\rangle$ that are non-orthogonal, but for which $f(|v_1\rangle) + f(|v_2\rangle) \leq 1$ in every $\{0,1\}$ -coloring fof S_{gad} .

- ► Extended 01-gadgets are generalisations of 01-gadgets with {0,1}-coloring replaced by [0,1]-assignment and $f(|v_1\rangle) + f(|v_2\rangle) \le 1$ replaced by $f(|v_1\rangle) + f(|v_2\rangle) < 2$
- ► For example, the bug is both a 01-gadget and an extended 01-gadget.

CONSTRUCTING EXTENDED 01-GADGETS

- ► We use ext. 01-gadgets to give constructive proofs of the extended KS theorem.
- ► First, let us construct extended 01-gadgets between any two given vectors.

Theorem 4. Let $|v_1\rangle$ and $|v_2\rangle$ be any two distinct nonorthogonal vectors in \mathbb{C}^d with $d \geq 3$. Then there exists an extended 01-gadget in dimension d with $|v_1\rangle$ and $|v_2\rangle$ being the two distinguished vertices.





CONSTRUCTING PROOFS OF THE EXTENDED KS THEOREM

- ► How to construct proofs of the extended KS theorem using extended 01-gadgets
 - $|v_3\rangle = |v_1\rangle \times |v_2\rangle$ $|v_4\rangle$ orthogonal to $|v_1\rangle$ and in the plane span $(|v_1\rangle, |v_2\rangle)$
 - $|v_5\rangle$ orthogonal to $|v_2\rangle$ and in the plane span $(|v_1\rangle, |v_2\rangle)$

Connect vectors using extended 01-gadgets as in the figure to obtain the proof



COMPUTATIONAL COMPLEXITY OF CHECKING KS COLORABILITY

- Forbidden subgraphs in particular dimensions:
- It turns out that checking {0,1}-colorability of graphs without these forbidden subgraphs is still NP-complete

Theorem 5 (see also [15]). Checking $\{0,1\}$ -colorability of $\{G_{fbd}\}$ -free graphs is NP-complete.



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F. Arends, J. Ouaknine, and C. W. Wampler. "On searching for small Kochen-Specker vector systems". Proc. WG vol. 6986 of Springer LNCS (2011).

APPLICATIONS OF CONTEXTUALITY: RANDOMNESS GENERATION

- Device-independent application: thru' link with Hardy paradoxes (Prof. Pawel Horodecki's talk).
- Suppose Alice and Bob measure according to the Clifton bug configuration and check for perfect correlations.
- ► In any no-signaling theory:



R. Ramanathan, F.G.S.L. Brandao, K. Horodecki, M. Horodecki, P. Horodecki and H. Wojewodka. "Randomness Amplification under minimal fundamental assumptions on the devices". Phys. Rev. Lett. 117, 230501 (2016).

APPLICATIONS OF CONTEXTUALITY: RANDOMNESS GENERATION

- Hardy paradoxes turn out to have interesting applications in DI protocols for randomness amplification.
- Crucial parameter controlling noise: prob. Of Hardy output.
- The 01-gadgets can be used to construct Hardy paradoxes with the prob. Of Hardy output in the entire range (0,1].

Proposition 9. There exist Hardy paradoxes for the maximally entangled state $\frac{1}{\sqrt{d}} \sum_{i=0}^{d-1} |i,i\rangle$ for all $d \geq 3$ with the non-zero probability taking any value in $(0, \frac{1}{d}]$. In dimensions four and eight, there exist Hardy paradoxes for the maximally entangled state with the non-zero probability taking any value in (0, 1].



FIG. 11: An illustration of the Hardy paradox construction in dimension four by means of the real orthogonal matrix in Eq.(96). We embed the Clifton graph in dimension four by adding the extra vertex u_0 corresponding to the vector $(0, 0, 0, 1)^T$. We then obtain four copies of the resulting gadget in dimension four by multiplication by the orthogonal unit as in Eq.(96) (not all resulting edges are shown for clarity). The two distinguished vertices u_1 and u_8 give rise in this manner to distinguished measurement bases given as $\{|u_{1/8}\rangle, |v_{1/8}\rangle, |w_{1/8}\rangle, |x_{1/8}\rangle\}$. In the scenario of the gadget constructed in Prop. 8 with $|v_1\rangle$ and $|v_2\rangle$ identical, measurements on a maximally entangled ququart state by Alice and Bob in these respective bases give rise to a Hardy paradox with non-zero probability in (0, 1] as explained in the text.

CONCLUSIONS AND OPEN QUESTIONS

- Constructed 01-gadgets and applied them to produce novel KS sets.
- Minimal 01-gadgets? So far: 40 vectors for arbitrary distinguished vectors.
- If you have a non-{0,1}-colorable graph, chances are with 01-gadgets you can convert it into a KS proof.
- Introduced extended 01-gadgets.
- Applied to produce constructive proofs of Pitowsky's Logical Indeterminacy.
- Minimal constructions? Current proofs require large vector sets for arbitrary distinguished vectors.
- Important role of 01-gadgets in Randomness Generation to be explored further.
- ► Do rational representations of 01-gadgets exist?...